



SANTA BARBARA, CA 93106-5010

November 19, 2018

Dear Students,

The prevention of interpersonal and sexual violence in our campus community is one of our university's highest priorities. Equally important is the way our students and our campus professionals respond to reports of interpersonal and sexual violence.

At UC Santa Barbara our processes and procedures for reporting, investigation, and adjudication have evolved significantly over the past three years. As is the case across the UC system, our procedures are in line with the UC Systemwide Sexual Violence and Sexual Harassment Policy (<http://policy.ucop.edu/doc/4000385/SVSH>) and Appendix E of the Policies Applying to Campus Organizations and Students (PACAOS): Sexual Violence and Sexual Harassment Student Adjudication Framework (<http://policy.ucop.edu/doc/2710641/PACAOS-Appendix-E>). Our local procedures for student cases of sexual violence or sexual harassment are available at <http://sexualviolence.ucsb.edu/policies/UCSB.SVSH.Local.Procedures.for.Students.pdf>.

A case management team (CMT) with representatives from CARE (confidential Campus Advocacy Resources and Education), the Title IX and Sexual Harassment Policy Compliance Office, the Office of Judicial Affairs, the UC Police Department, Housing & Residential Services, Clery Coordination, and Respondent Services meets every other week to collaborate on interim measures and case progress. The comprehensive review and response procedure incorporates trauma-informed processes in three distinct phases: investigation, adjudication, and appeal, as required by the University of California Sexual Violence Framework that is common to all 10 UC campuses. Additionally, we implement the UC-wide mandatory minimum sanctioning guidelines, as outlined in the UC Sexual Violence Framework and our local implementing procedures, ensuring consistency among case outcomes.

Over the past few years, our students have shared with us their questions, concerns, and ideas related to UC Santa Barbara's approach to preventing and responding to interpersonal violence. We have listened carefully and made efforts whenever possible to incorporate their feedback to improve our processes. Through student meetings and hosting a number of Town Halls each year we have also created avenues for students to continue to communicate on a regular basis with campus professionals. Furthermore, the UC Office of the President has created a student advisory committee on sexual violence with student representatives from each campus.

In our conversations with students, and in a set of demands submitted to Chancellor Yang in May 2015, students requested aggregate data on reports of student violations of the *UC Policy on Sexual Violence and Sexual Harassment* that were brought to the attention of the university. We began publishing these statistics two years ago. This year's report contains data for the most recent academic years: 2017-2018.

Respecting student privacy in the cases represented here is of the utmost importance. To avoid the possibility of revealing anyone's identity, categories with numbers smaller than five (5) have been listed as "<5." Similarly, some categories have been combined so that the numbers reported could be larger, and thus more accurate. The data have been organized around the three key University offices charged with responding to sexual violence cases: CARE, Title IX, and Judicial Affairs. Because reports to the UC Police Department involve the criminal justice system, outcomes are not reported to the campus; therefore, these are not included in the data provided.

While these statistics are important for the University to disclose, we recognize that their release may be upsetting to some students. If you need support, we encourage you to seek the services of a confidential CARE advocate or CAPS psychologist. Contact information is listed below.

As you may know, UC Santa Barbara has a robust sexual violence education program that goes beyond the educational requirements imposed by California law and by the UC Office of the President. Undergraduate and graduate students

are required to complete training courses designed to help prevent sexual and interpersonal violence and to ensure that students know about resources and reporting options through Title IX, CARE, the UC Police Department and other services.

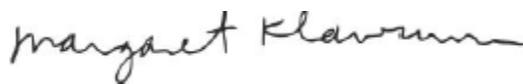
UCSB staff and faculty also are required to complete regular training to ensure not only their compliance with campus policies, but also an informed and sensitive response to student reports of sexual assault, dating and domestic violence, and stalking. Additionally, UC Santa Barbara and the CARE office have three times received grants from the Department of Justice's Office on Violence Against Women, which has allowed us to hire additional professional survivor advocates and a prevention education coordinator; send multiple staff from CARE, Title IX, Judicial Affairs, and UCPD to multi-day training symposiums; and fund a portion of our law enforcement response efforts. Regardless of grant funding, however, the campus will continue its commitment to these important efforts.

In addition to the data provided, which is available online at <http://sexualviolence.ucsb.edu>, extensive information and resources can be found on the following UC Santa Barbara websites and in person from staff in these offices:

- **CARE**, confidential advocacy, reporting options, and requests for interim measures, 805-893-4613 (24-hour advocacy line) or <http://wgse.sa.ucsb.edu/CARE> or <http://sexualviolence.ucsb.edu>, Student Resource Building, 1st Floor
- **Counseling and Psychological Services (CAPS) and 24/7 Counseling**, confidential counseling, sexual violence specialist, and survivor support groups, 805-893-4411 or <http://counseling.sa.ucsb.edu>, Building 599 (across from Storke Tower)
- **Office of Title IX/Sexual Harassment Policy Compliance**, to report incidents of sexual violence, sexual harassment, dating or domestic violence, or stalking to the University, or to request interim measures, 805-893-2701 or <https://oeosh.ucsb.edu>, 3217 Phelps Hall
- **Office of Judicial Affairs**, 805-893-5016 or <http://judicialaffairs.sa.ucsb.edu>, adjudication of cases once an investigation has been completed, or to request no contact orders/discuss other interim measures (prior contact with a CARE advocate highly recommended), Student Resource Building, 2nd Floor
- **UCSB Police Department**, 805-893-3446 or www.police.ucsb.edu (911 in an emergency), to report an incident for criminal investigation or to file a restraining order, Public Safety Building

We hope you find this information useful and will take an active role in our campus's efforts to prevent sexual and interpersonal violence in our community.

Sincerely,



Margaret Klawunn
Vice Chancellor, Student Affairs



Ariana Alvarez
Title IX Officer

**Overview of Reports of Student Violations of the
UC Policy on Sexual Violence and Sexual Harassment
September 15, 2017 - September 14, 2018
UC Santa Barbara**

Campus Advocacy Resources & Education (CARE)

CARE provides confidential advocacy and support services to students, staff, and faculty impacted by sexual assault, dating/domestic violence, and stalking regardless of when or where the interpersonal violence occurred. CARE advocates review all reporting options and respect a survivor's right to choose if, how, and/or when they would like to make a formal report either to the University, law enforcement, or both.

	'17 – '18
CARE student-clients who disclosed a sexual assault, dating/domestic violence, and/or had been stalked, either on or off campus, recently or in the past	376
CARE student-clients who chose to report to Title IX	60
CARE student-clients who chose to report to law enforcement	72

Note: Some students report to both Title IX and law enforcement, while others report to only one, or do not report at all.

Office of Title IX/Sexual Harassment Policy Compliance (The Title IX Office)

The Title IX Office is the official University reporting point for complaints of sexual harassment, sexual assault, dating/domestic violence, and stalking. A report to the Title IX Office places the University "on notice" with a duty to respond. Each report receives a preliminary assessment to determine if the nature of the complaint fits within the scope of the *UC Policy on Sexual Violence and Sexual Harassment* and whether there is or could be enough information to proceed with a formal investigation. Should a formal investigation be initiated, a full investigative report, with recommendations as to whether the complaint was "substantiated" or "unsubstantiated," is forwarded to the Office of Judicial Affairs for a decision and adjudication.

	'17 – '18
Total reports of alleged student violations of the UC Policy made to the Title IX Office	207*
Cases assessed that did not meet the standard for investigation	174
Still in initial assessment period	3
Duplicate reports	0
Insufficient information	43
Un-named party or parties	3
Complainant declined/No response and insufficient information	105
Non-discriminatory basis/falls beyond scope of SVSH policy	16
Alternative resolution	4
Cases in which an investigation was initiated or completed	33
"Substantiated" complaints	8
"Unsubstantiated" complaints	8
Cases in the reporting period that remain under active investigation by the Title IX Office	17

**Includes duplicate reports about the same incident as well as reports from responsible employees or other individuals that lack enough information to open an investigation.*

Outcomes and Sanctions

The Office of Judicial Affairs (OJA) receives investigative reports from the Title IX Office with recommendations for whether the complaint was either “substantiated” or “unsubstantiated.” After a 10-day comment period, which affords the parties an opportunity to respond to the report, the director of OJA determines whether the action constitutes a violation of the *UC Policy*. If there is a finding of responsibility for a violation, the director of OJA also issues a sanction using the UC Sanctioning Guidelines. Both parties have the opportunity to appeal the finding and/or the sanction to the Interpersonal Violence Appeal Review Committee (IPVARC). If the IPVARC upholds the decision, it becomes final. If the IPVARC modifies or overturns the decision, both parties may make a final written appeal to the Vice Chancellor for Student Affairs who then ultimately determines the final outcome of the case. OJA also issues interim measures such as “no contact orders” and interim suspensions, as necessary.

The table below displays outcomes of the campus adjudication process described above. Please note that outcomes and sanctioning may be subject to litigation, which can, infrequently, result in the outcomes of campus results being overturned.

	'17 – '18
Reports submitted by the Title IX Office for adjudication	16**
“Responsible” findings (after conclusion of appeal process)	6
“Not responsible” findings (after conclusion of appeal process)	10
Cases pending a decision or appeal outcome	0
Interim suspension issued upon University notice***	4
Offense(s) (some cases involve more than one offense)	
Sexual Harassment	0
Sexual Assault	13
Dating/Domestic Violence	3
Stalking	0
Other Prohibited behavior	0
Sanctions	
Disciplinary Probation	0
Suspension for 1-5 quarters	<5
Suspension for 8-13 quarters	<5
Diploma hold for 1-5 quarters	0
Diploma hold for 12 quarters	<5
Dismissal	<5

**The data reporting convention for this chart has been changed from previous years in order to facilitate easier comparison between Title IX Office recommendations and Outcomes and Sanctions. The Title IX Office and Outcomes and Sanctions tables above present statistics in reference to the same cases even though the Office of Judicial Affairs receives these cases later, and in some cases in the following academic year.

***Interim suspension is issued when there is reasonable cause to believe that the student’s continued participation in University activities or presence at specified areas of the campus will lead to physical abuse, threats of violence, or conduct that threatens the health or safety of any person on University property or at official University functions, or other disruptive activity incompatible with the orderly operation of the campus.

Note: To avoid personal identification, categories under “Sanctions,” that have at least 1 but less than 5, have been listed as <5.

The *UC Policy on Sexual Violence and Sexual Harassment* can be found at: <https://policy.ucop.edu/doc/4000385/SVSH>.

UC Santa Barbara's "Implementing and Response Procedures for Reported Student Violations of the *UC Policy on Sexual Violence and Sexual Harassment*," which includes the University's investigation and adjudication procedures, sanctioning guidelines, and appeal processes for students can be found at: <https://oeosh.ucsb.edu/titleix/resources/ucsb.local.procedures.pdf>.

An overview and summary of reporting, investigation, adjudication, and appeal procedures for student violations of the *UC Policy on Sexual Violence & Sexual Harassment* can be found at: <https://oeosh.ucsb.edu/titleix/resources/UCSB.SVSH.Process.Rights.and.Options.pdf>.

In compliance with federal Clery Act reporting responsibilities, UC Santa Barbara publishes an [Annual Security Report](#). The Clery Act requires disclosure of sex offenses committed on campus or on University-owned or –controlled property only. Data represented in this overview comprise reports of both on- and off-campus incidents.