



SANTA BARBARA, CA 93106-5010

Fall, 2016

Dear Students,

The prevention of interpersonal and sexual violence within our campus community is one of our university's highest priorities. Equally important is the way our students and our campus professionals respond to reports of interpersonal and sexual violence.

At UC Santa Barbara our processes and procedures for reporting, investigation, and adjudication have evolved significantly over the past three years. As is the case across the UC system, we are now guided by the recommendations of the UC President's Task Force on Preventing and Responding to Sexual Violence and Sexual Assault (<http://www.ucop.edu/ethics-compliance-audit-services/compliance/SVSA.html>).

A case management team (CMT) with representatives from CARE (confidential Campus Advocacy Resources and Education), the Title IX and Sexual Harassment Policy Compliance Office, the Office of Judicial Affairs, the UC Police Department, Housing & Residential Services, and Respondent Services meets every other week to collaborate on interim measures and case progress. The investigation process has been separated from the adjudication process to be in line with the University of California Sexual Violence Framework, a trauma-informed procedure and appeals process that is now common to all 10 UC campuses. Additionally, we have implemented the UC-wide mandatory minimum sanctioning guidelines, as outlined in the UC Sexual Violence Framework and our local implementing procedures, ensuring greater consistency among case sanctions.

Over the past year, our students have shared with us their concerns, questions, and ideas related to UC Santa Barbara's approach to preventing and responding to interpersonal violence. We have listened carefully and made efforts whenever possible to incorporate their feedback to improve our processes. And through monthly student meetings and the inclusion of undergraduate and graduate students in our quarterly Campus and Community Coordinated Response Team (CCRT) meetings, we have created avenues for students to continue to communicate on a regular basis with campus professionals.

In our conversations with students, and in a set of demands submitted to Chancellor Yang in May 2015, students requested aggregate data on reports of student violations of the *UC Policy on Sexual Violence and Sexual Harassment* that were brought to the attention of the university. We are providing the data in this report for the one-year period from September 2015 to September 2016.

The privacy of students involved in the cases represented in this report is of utmost importance. To avoid the possibility of revealing anyone's identity, in the category of "sanctions," numbers smaller than five (5) have been listed as "<5." Similarly, some categories have been combined so that the numbers reported could be larger, and thus more accurate. The data have been organized around the three key University offices charged with responding to sexual violence cases: CARE, Title IX, and Judicial Affairs. Because reports to the UC Police Department involve the criminal justice system, outcomes are not reported to the campus; therefore, these are not included in the data provided.

While these statistics are important for the University to disclose, we recognize that their release may be upsetting to some students. If you need support, we encourage you to seek the services of a confidential CARE

advocate or CAPS psychologist. CARE can be reached 24/7 at (805) 893-4613. CAPS can be reached 24/7 at (805) 893-4411.

As you may know, UC Santa Barbara has a robust sexual violence education program that goes beyond the educational requirements imposed by California law and by the UC Office of the President. Undergraduate and graduate students are required to complete training courses designed to help prevent sexual and interpersonal violence and to ensure that students know about resources and reporting options through Title IX, CARE, the UC Police Department and other services.

UCSB staff and faculty also are required to complete regular training to ensure not only their compliance with campus policies, but also an informed and sensitive response to student reports of sexual assault, dating and domestic violence, and stalking. Additionally, UC Santa Barbara and the CARE office have twice received grants from the Department of Justice's Office on Violence Against Women, which has allowed us to hire additional survivor advocates; send multiple staff from CARE, Title IX, Judicial Affairs, and UCPD to multi-day training symposiums; and fund a portion of our prevention education efforts. Regardless of grant funding, however, the campus will continue its commitment to these important efforts.

In addition to the data provided, which is available online at: <http://sexualviolence.ucsb.edu>, extensive information and resources can be found on the following UC Santa Barbara websites and in person from staff in these offices:

- **CARE**, confidential advocacy, reporting options, and requests for interim measures, 805-893-4613 (24-hour advocacy line) or <http://wgse.sa.ucsb.edu/CARE> or <http://sexualviolence.ucsb.edu>, Student Resource Building, 1st Floor
- **Counseling and Psychological Services (CAPS) and 24/7 Counseling**, confidential counseling, sexual violence specialist, and survivor support groups, 805-893-4411 or <http://counseling.sa.ucsb.edu>, Building 599 (across from Storke Tower)
- **Office of Title IX/Sexual Harassment Policy Compliance**, to report incidents of sexual violence, sexual harassment, dating or domestic violence, or stalking to the University, or to request interim measures, 805-893-2701 or <https://oeosh.ucsb.edu>, 3217 Phelps Hall
- **Office of Judicial Affairs**, 805-893-5016 or <http://judicialaffairs.sa.ucsb.edu>, adjudication of cases once an investigation has been completed, or to request no contact orders/discuss other interim measures, Student Resource Building, 2nd Floor
- **UCSB Police Department**, 805-893-3446 or www.police.ucsb.edu (911 in an emergency), to report an incident for criminal investigation or to file a restraining order, Public Safety Building

We hope you find this information useful and will take an active role in our campus's efforts to prevent sexual and interpersonal violence in our community.

Sincerely,



Debbie Fleming
Assistant Vice Chancellor, Student Affairs



Ariana Alvarez
Title IX Officer

Overview of Reports of Student Violations of the UC Policy on Sexual Violence and Sexual Harassment September 15, 2015 - September 14, 2016 UC Santa Barbara

Campus Advocacy Resources & Education (CARE)

CARE provides confidential advocacy and support services to students, staff, and faculty impacted by sexual assault, dating/domestic violence, and stalking regardless of when or where the interpersonal violence occurred. CARE advocates review all reporting options and respect a survivor's right to choose if, how, and/or when they would like to make a formal report either to the University, law enforcement, or both.

CARE student-clients who disclosed a sexual assault, dating/domestic violence, and/or had been stalked, either on or off campus, recently or in the past, between September 2015 and September 2016	225
CARE student-clients who chose to report to Title IX	37
CARE student-clients who chose to report to law enforcement	42

Note: Some students report to both Title IX and law enforcement, while others report to only one, or do not report at all.

Office of Title IX/Sexual Harassment Policy Compliance (TIX/SHPC)

TIX/SHPC is the official University reporting point for complaints of sexual harassment, sexual assault, dating/domestic violence, and stalking. A report to TIX/SHPC places the University "on notice" with a duty to respond. Each report receives a preliminary assessment to determine if the nature of the complaint fits within the scope of the *UC Policy on Sexual Violence and Sexual Harassment* and whether there is or could be enough information to proceed with a formal investigation. Should a formal investigation be initiated, a full investigative report, with recommendations as to whether the complaint was "substantiated" or "unsubstantiated," is forwarded to the Office of Judicial Affairs for a decision and adjudication.

Total reports of alleged student violations of the UC Policy made to TIX/SHPC, between September 2015 and September 2016	117*
Cases assessed that did not meet the standard for investigation	89
Duplicate reports	2
Insufficient information	6
Un-named party or parties	25
Complainant declined/No response and insufficient information	55
Early resolution	1
Cases in which an investigation was initiated or completed	28
"Substantiated" complaints	7
"Unsubstantiated" complaints	3
Cases in the reporting period that remain under active investigation by TIX/SHPC	18

**Includes duplicate reports about the same incident as well as reports from responsible employees or other individuals that lack enough information to open an investigation.*

Office of Judicial Affairs (OJA)

OJA receives investigative reports from TIX/SHPC with recommendations for whether the complaint was either “substantiated” or “unsubstantiated.” After a 10-day comment period, which affords the parties an opportunity to respond to the report, the director of OJA determines whether the action constitutes a violation of the *UC Policy*. If there is a finding of responsibility for a violation, the director of OJA also issues a sanction using the UC Sanctioning Guidelines. Both parties have the opportunity to appeal the finding and/or the sanction to the Interpersonal Violence Appeal Review Committee (IPV ARC). If the IPV ARC upholds the decision, it becomes final. If the IPV ARC modifies or overturns the decision, both parties may make a final written appeal to the Vice Chancellor for Student Affairs who ultimately then determines the final outcome of the case. OJA also issues interim measures such as “no contact orders” and interim suspensions, as necessary.

Reports forwarded to Judicial Affairs from TIX/SHPC for adjudication between September 2015 and September 2016	20**
“Responsible” findings	11
“Not responsible” findings	6
Cases pending a decision or appeal outcome	3
Interim suspension issued upon University notice***	7
Offense(s) (some cases involve more than one offense)	
Sexual Harassment	3
Sexual Assault	16
Dating/Domestic Violence	2
Stalking	2
Sanctions	
Suspension for 1-5 quarters	<5
Suspension for 8-13 quarters	6
Diploma hold for 1-5 quarters	<5
Dismissal	<5

**Number does not match total for TIX/SHPC (28) because cases reside with TIX/SHPC and OJA during different periods of time and are not necessarily captured in the same reporting period.

***Interim suspension is issued when there is reasonable cause to believe that the student’s participation in University activities or presence at specified areas of the campus will lead to physical abuse, threats of violence, or conduct that threatens the health or safety of any person on University property or at official University functions, or other disruptive activity incompatible with the orderly operation of the campus.

Note: to avoid personal identification, categories under “Sanctions,” that have at least 1 but less than 5, have been listed as <5.

The *UC Policy on Sexual Violence and Sexual Harassment* can be found at: <http://policy.ucop.edu/doc/4000385/SVSH>.

UC Santa Barbara’s “Implementing and Response Procedures for Reported Student Violations of the *UC Policy on Sexual Violence and Sexual Harassment*,” which includes the University’s investigation and adjudication procedures, sanctioning guidelines, and appeal processes for students can be found at: <http://sexualviolence.ucsb.edu/policies/UCSB.Local.Procedures.pdf>.

In compliance with federal Clery Act reporting responsibilities, UC Santa Barbara publishes an Annual Security Report, which can be found at: <http://www.police.ucsb.edu/files/docs/annual-clery-act-campus-security-report-2016.pdf>. The Clery Act requires disclosure of sex offenses committed on campus or on University-owned or –controlled property only.

Data represented in this overview comprise reports of both on- and off-campus incidents.